IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No:

10/632,284

Confirmation No: 5081

In Re Application of:

Tour et al.

Filing Date:

August 1, 2003

Art Unit:

1712

Examiner:

Robert E. Sellers

Docket No.:

11321/P023WUD3

Customer No.:

61,060

Title: PROCESS FOR MAKING POLYMERS COMPRISING DERIVATIZED CARBON NANOTUBES AND COMPOSITIONS THEREOF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AFFIDAVIT OF JEFFREY L. BAHR UNDER 37 C.F.R. §1.132

BEFORE ME, the undersigned authority on this day personally appeared Jeffrey L. Bahr who made the following written verification:

1. My name is Jeffrey L. Bahr. I am over the age of eighteen (18) years and am of sound mind, have never been convicted of a felony, and am competent to testify herein. The matters set forth herein are within my personal knowledge and are true and correct.

- 2. In an Office Action mailed January 17, 2007, the Examiner rejected claims 97, 98 and 100 of the above referenced application under 35 U.S.C. §102(a), as being anticipated by Bahr et al., Journal of the American Chemical Society, 2001, 123, 6536-6542, having web publication date of June 14, 2001. The same citation has been used to support a rejection of claims 97, 98, 100, 104-109, 134, and 135 in combination with Smalley et al. U.S. Patent No. 7,105,596 under 35 U.S.C. §103(a) of the Patent Act.
- 3. I am one of three named inventors in the present application, which includes James M. Tour, Jeffrey L. Bahr, and Jiping Yang, (collectively the "inventive entity") and I am also a co-author of the Bahr *et al.* literature citation.
- 4. The portion of Bahr et al. relied upon by the Examiner is solely the inventive entity's own work. Specifically the Examiner states, that Bahr et al. teaches incorporation of diazonium derivitized carbon nanotubes into polymer composite materials. The carbon nanotube derivitization process and incorporation into polymer composite materials is solely the inventive entity's own work. The remaining authors in Bahr et al. have assisted in reduction to practice of the invention without contribution to conception and are thus, not inventive entities.
- 5. Richard E. Smalley is a deceased co-author on Bahr *et al.* and is, therefore unable to provide an affidavit.
- 6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

freeze f. Lohn
Jeffrey L. Bahr
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State of Services §
County of Montgomery &
County of 17707000000
On this, the 10 day of 2007, before me, a Notary Public i
and for the State and County aforesaid, personally appeared before me Jeffrey L. Bahr, well
known and known by me to be the person of the above name who signed and sealed th
foregoing instrument and acknowledged the same to be his own free act and deed.
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- Wido UT age
NOTARY PUBLIC
LINDA A. RAGER
SEA (Notary Public, State of Texas
Commission Expires 4-30-08 My Commission Expires:

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